

Hierarchies of networked phases induced by multiple liquid–liquid critical points

Chia Wei Hsu*, Julio Largo^{†‡}, Francesco Sciortino[†], and Francis W. Starr*[§]

*Department of Physics, Wesleyan University, Middletown, CT 06459; [†]Dipartimento di Fisica and Consiglio Nazionale delle Ricerche-Istituto Nazionale per la Fisica della Materia-Soft: Complex Dynamics in Structured Systems, Università di Roma La Sapienza, Piazzale Aldo Moro 2, I-00185 Rome, Italy; and [‡]Departamento de Física Aplicada, Universidad de Cantabria, Avda. Los Castros s/n Santander, 39005, Spain

Edited by H. Eugene Stanley, Boston University, Boston, MA, and approved July 17, 2008 (received for review May 19, 2008)

Nanoparticles and colloids functionalized by four single strands of DNA can be thought of as designed analogs to tetrahedral network-forming atoms and molecules, with a difference that the attached DNA strands allow for control of the length scale of bonding relative to the core size. We explore the behavior of an experimentally realized model for nanoparticles functionalized by four single strands of DNA (a tetramer), and show that this single-component model exhibits a rich phase diagram with at least three critical points and four thermodynamically distinct amorphous phases. We demonstrate that the additional critical points are part of the Ising universality class, like the ordinary liquid–gas critical point. The dense phases consist of a hierarchy of interpenetrating networks, reminiscent of a woven cloth. Thus, bonding specificity of DNA provides an effective route to generate new nano-networked materials with polyamorphic behavior. The concept of network interpenetration helps to explain the generation of multiple liquid phases in single-component systems, suggested to occur in some atomic and molecular network-forming fluids, including water and silica.

DNA functionalization | nanoparticles | self-assembly | polyamorphism | nanotechnology

Technological developments are creating a wide variety of building blocks that play the role of “functionalized atoms” for designed materials (1, 2). Borrowing ideas from biological specificity (3–6), it is now possible to control bonding between engineered building blocks in a selective way, moving in the direction of an effective synthetic bottom-up strategy for self-assembly. The complementary binding of base pairs, combined with the ability to directly control the base sequence, makes DNA an ideal candidate for the development of network-based, nanostructured materials (5–8). A variety of experiments (7–15) have demonstrated the possibility to realize nanostructured, DNA-based materials, including ordered crystal structures (9, 10).

By grafting short single strands of DNA to core particles, the core can act as a “node” of a complex network formed when single strands combine into dsDNA. Longer linking “arms” may be achieved by using a double-stranded spacer near the core nanoparticle (9, 10). With an intelligent choice of the core, it is possible to control the number of attached strands and thus the nearest-neighbor coordination of the functionalized nanoparticle, providing a direct route to study materials where the bonding coordination is much less than that of spherically symmetric molecules (16). In such limited coordination systems, the general features of network formation are expected to be observed.

By functionalizing the central core with four DNA strands, we expect to generate an engineered analog of tetrahedral network-forming atoms or molecules, in which the bonding contribution to the interparticle interaction energy can be tuned by modulating the length L of the DNA strand, as well as the chemical properties of the solvent. Tetrahedrally coordinated fluids, such as water (17–21), silicon (22), and silica (23) appear to have unusual phase diagrams that include two (or more) critical

points: (i) the usual gas–liquid critical point, and (ii) a lower-temperature critical point terminating the coexistence of a low-density and high-density liquid. This phenomenon is also referred to as “polyamorphism” (24). Our model DNA “molecules” allow us to explore if such unusual polyamorphic behavior is shared by designed systems, and also provide insights that might help understand complex phase diagrams in traditional materials. Our findings indicate that interpenetration of repeating, amorphous networks can give rise to multiple fluid phases, providing a disordered analog of the natural example of interpenetration that occurs in ices VI, VII, and VIII (25), and that helps to understand how interpenetration in liquid water is feasible at high pressures.

Our results are based on a series of Monte Carlo simulations of a simple, coarse-grained molecular model for tetrahedral DNA dendrimers—that is, a core nanoparticle binding four ssDNA with tetrahedral symmetry. Such tetramers have also been experimentally synthesized (11). The model retains two important basic features: (i) base pair selectivity and (ii) the limitation that each ssDNA can bind to only one other ssDNA to form a dsDNA. The model omits chemical details and explicit solvent effects that would make calculations of the phase behavior infeasible. Each molecular unit of the model is composed of a tetrahedral hub tethering four identical DNA-like strands composed of L connected monomers. The detailed model (introduced in refs. 26 and 27) is shown for the case $L = 8$ in Fig. 1 *A* and *B*. Here, we focus mainly on a simplified model (28) that is directly derived from the more complex model. We represent each dendrimer by a central core with rigid arms, representing the ssDNA, that point to the vertices of tetrahedron (Fig. 1 *C* and *D*). The coarse-grained dendrimer–dendrimer interaction potential is a function only of (i) the separation r of the cores of the nanoparticles, (ii) the relative orientations θ_1 and θ_2 of the binding strands, and (iii) the temperature T dependence of the probability $p_B(T)$ that single strands bond to form double strands (28). Fig. 2 shows both the separation dependence of the potential along the bonding direction ($\theta_1 = \theta_2 = 0$) and the angular dependence at the bonding distance. The details of the potential and the parameterization from the more detailed model are described in *Methods*. In contrast with atomic or molecular network forming systems, the core–core-excluded volume interactions of our model are relevant only at separations much smaller than the bonding distance, allowing for significant interpenetration effects. The model was originally parameterized for DNA sequences of length $L = 8$ (28); here, we generalize the model to shorter ($L = 4$) and longer ($L = 16$) strands. The sequence of the DNA bases in each arm is chosen so that the

Author contributions: F.S. and F.W.S. designed research; C.W.H. and J.L. performed research; C.W.H., J.L., F.S., and F.W.S. analyzed data; and C.W.H., J.L., F.S., and F.W.S. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

[§]To whom correspondence should be addressed. E-mail: fstarr@wesleyan.edu.

© 2008 by The National Academy of Sciences of the USA

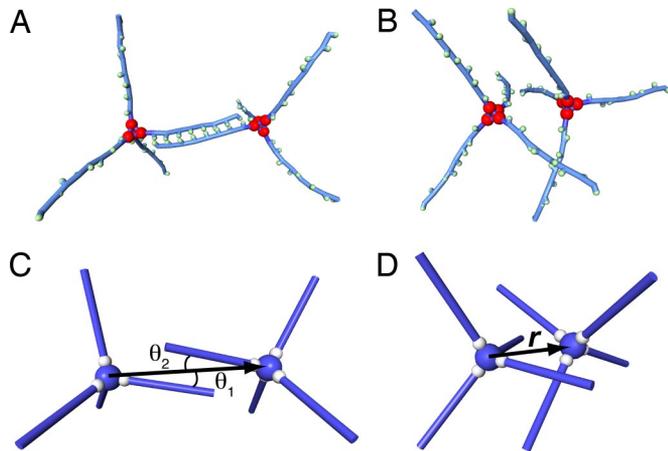


Fig. 1. Visualization of the model before and after coarse graining. A single dendrimer is formed by joining four single strands to a central hub (the four red spheres). The small green spheres are the attractive “sticky” spots that carry information about base type. Shown is a bonded pair of dendrimers (A) and a nearby pair that is unbonded (B). In the coarse-grained model (C and D), the four central sites are replaced by a single core (indicated by a blue sphere), and the arms (indicated by a blue cylinder), each representing a single strand of DNA, are parameterized by a distance and angle-dependent bonding potential. The schematics show both bound (C) and unbound cases (D) of two nearby dendrimers. The potential between dendrimers depends on the core–core separation r and the orientations θ_1 and θ_2 of the binding arms relative to r .

complementary sequence is identical, but in reverse order. As a result head-to-tail bonding between the arms of different dendrimers occurs, and when many dendrimers bind, they form an open tetrahedral network.

Results

To evaluate the phase behavior, we first determine the location of any critical points in the phase diagram. In the grand canonical ensemble, we can accurately calculate the position of a critical point by locating the state points (defined by chemical potential μ and T) where the density fluctuations exhibit a specific bimodal distribution. We define the density $\rho \equiv N/Vd^3$, where

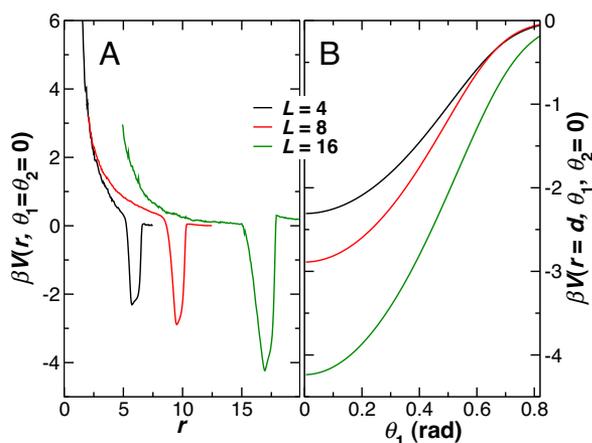


Fig. 2. Dendrimer–dendrimer pair potential for the model studied. (A) The potential $\beta V(r, \theta_1 = \theta_2 = 0) = \beta(V_{NB}(r) + p_B V_B(r, \theta_1 = \theta_2 = 0))$ along the ideal bonding direction, where $\beta = 1/k_B T$. For simplicity of the figure, we take the probability of being bonded $p_B = 1$, so that the full effect of the bonding potential is apparent. (B) The angular variation of the potential at the ideal bonding distance. The attraction drops rapidly as the θ_1 departs from zero. Note that because labels are arbitrary, $V(r = d, \theta_1 = 0, \theta_2)$ vs. θ_2 is identical to $V(r = d, \theta_1, \theta_2 = 0)$ vs. θ_1 .

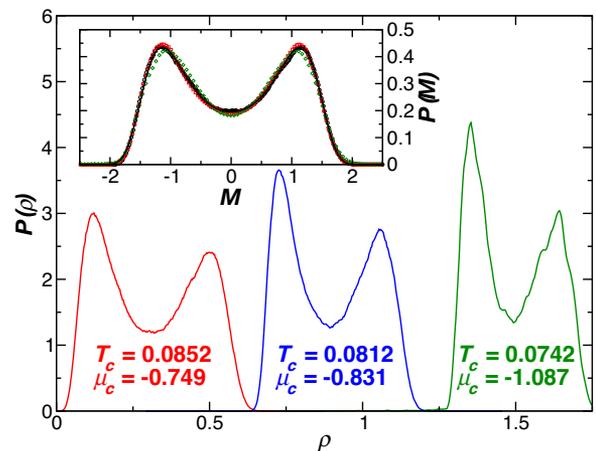


Fig. 3. The distribution of the density $P(\rho)$ for $L = 4$ at the locations (T_c, μ_c) of the three distinct critical points terminating first-order fluid–fluid transitions. (Inset) We identify the universality class by calculating the distribution of the order parameter $P(M)$, which coincides with the known distribution for the Ising model (solid black line). Note that M is shifted by its mean value and scaled so that it has unit variance.

$d \approx L$ is the most probable separation of nearest-neighbor dendrimer cores when they are bonded, N is the number of dendrimers, and V is the volume. Including d in the definition of ρ simplifies comparison of dendrimers with different L .

Surprisingly, as shown in Fig. 3, we discover *three* different critical points for all investigated L , namely, the expected critical point terminating the transition between the unassociated dendrimers and a networked fluid state (the analog of the gas–liquid critical point in fluids) and two additional liquid–liquid critical points. To demonstrate that all three critical points belong to the Ising universality class, we show that the calculated order parameter distribution $P(M)$, where $M = \rho - su$, coincides with the known distribution of the magnetization in the Ising model at the critical point (29). Here, u is the potential energy density and s is referred to as the field-mixing parameter. The possibility of multiple critical points in networked liquids is well documented (17–23, 30, 31), but it has never before been possible to quantitatively observe the critical behavior and identify the universality class of any additional transitions. Additionally, the data in Fig. 3 unambiguously show that there may be a hierarchy of many such critical points.

To complete the picture of the phase behavior, we identify the coexisting phases and evaluate the phase boundaries (Fig. 4 A–C). For each L , three adjacent phase coexistence regions emerge, with approximately the same width in ρ , suggesting the presence of well defined preferential ρ values for the stable dense phases. The ρ dependence for all L can be better understood by scaling ρ by the corresponding ideal diamond lattice density $\rho_d = 3\sqrt{3}/8 \approx 0.650$ (in units where the nearest-neighbor lattice sites are separated by unit length) (32). In this representation, the densities of the dense stable phases are approximately integer multiples of ρ_d , suggesting that these phases consist of interpenetrating four-coordinated networks. For sufficiently large L , where the core size is very small compared with the bonding distance, we expect the formation of additional interpenetrating networks, and of their associated critical points, beyond those we have already observed. So far, our studies have not identified such a scenario, because of the excessive computations required to equilibrate at large densities and small temperatures.

Unlike density, scaling of the temperature to a universal form is more complicated. Indeed, the differences in the L dependence of the bonding entropy causes the relative value of the

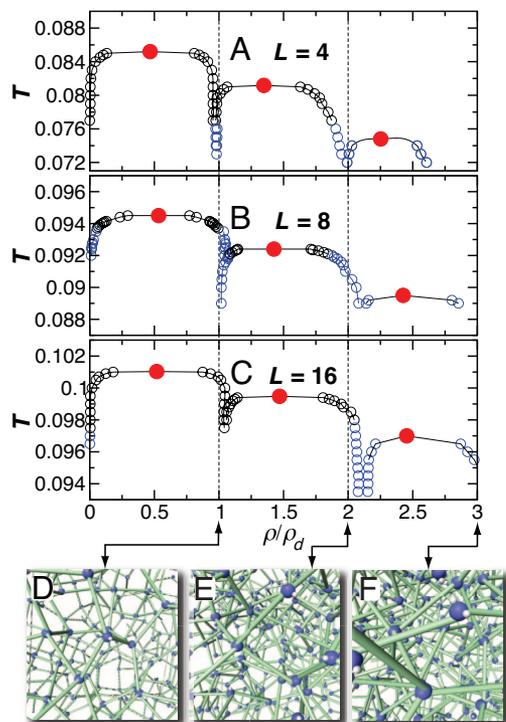


Fig. 4. Phase diagrams and schematics of fluid structure. We plot the phase diagrams for arms of length $L = 4$ (A), $L = 8$ (B), and $L = 16$ (C) bases. The red circles indicate the critical point locations. The black symbols indicate the phase boundary determined by a histogram-reweighting procedure (38), and the blue symbols are determined from grand canonical ensemble simulations. We illustrate the structure of the dense fluids in D–F, which correspond to the low-, medium-, and high-density networked states, as indicated by the arrows. Green cylinders are drawn between the centers of bonded dendrimers. The unbonded arms of the dendrimers are indicated only by a small white sphere to provide the molecular orientation.

critical temperature T_c for different L to differ. A graphical representation of the dense stable phases is shown in Fig. 4 D–F.

To quantify how interpenetrating networks are manifested in typical measured structural properties, and to provide evidence for the absence of crystal order, we evaluate the radial distribution $g(r)$ (where r is the separation between the centers of dendrimers) and its Fourier transform, the structure factor $S(q)$ (Fig. 5 A and B). The tetrahedral order of the lowest-density networked phase is evidenced by the fact that the ratio of the position of the first- and second-neighbor peaks of $g(r)$ is approximately $4/\sqrt{6} \approx 1.63$, expected for ideal tetrahedral coordination. The second and third interpenetrating liquids also have the same ratio between first- and second-neighbor peak locations, but there is increased amplitude of $g(r)$ for $r/d < 1$. Such an increase is consistent with the presence of dendrimers filling the holes of the complementary networks. The presence of neighbors closer than the bonding distance is made possible by the absence of significant hard-core interactions. Similar tetrahedral signatures are indicated by $S(q)$. For the single-networked fluid, the correlation among the holes of the network gives rise to the “prepeak” in $S(q)$ at $q/q_0 \approx \sqrt{6}/4$, where q_0 is the location of the main peak of $S(q)$. As these holes are filled by subsequent interpenetrating networks, the prepeak weakens, making it difficult to recognize from $S(q)$ the underlying tetrahedral structure.

To demonstrate that even the network of the densest phase is locally tetrahedral and interpenetrating, we look at the contribution to the $g(r)$ restricted to dendrimers that are separated by a specific number of bonds, referred to as chemical distance D

(Fig. 5C). More specifically, $g(r)$ for $D = 1$ only shows correlations with the nearest connected neighbors, whereas $D = 2$ includes both first and second connected neighbors, etc. In this way, we consider only neighboring particles that both belong to the same bond network as the selected central particle and are separated by no more than D bonds. The ordinary $g(r)$ is recovered in the limit $D \rightarrow \infty$. Fig. 5C shows that for $D \leq 3$, the system has nearly perfect tetrahedral order and there is almost no interpenetration. For $D > 3$, connections between networks destroy the long-range order and dendrimers can loop back to very small physical distance r , giving rise to the increase in the amplitude at $r/d < 1$. We visualize the interpenetrating structure of the three networks in the densest liquid by rendering dendrimers and the bonds between dendrimers of distinct networks with distinct colors (Fig. 5 D–F).

Last, we consider the possible concern that additional phase transitions may be only an artifact of the simplified model. Because comprehensive phase diagram calculations for the original model of Fig. 1 A and B are not feasible, we instead check for phase separation in both the original and effective potentials by simulating at fixed total ρ in the phase coexistence region. Fig. 6 shows $S(q)$ after a long equilibration to allow phase separation to complete for both the original and effective potentials in the liquid–gas coexistence region, as well as the first liquid–liquid coexistence region. The growth of $S(q)$ for small q is an indication of phase separation. The growth is much more pronounced for the liquid–gas coexistence because the ratio of densities of the coexisting phases is much larger than for the liquid–liquid coexistence. The behavior of $S(q)$ for the real and effective potentials is nearly quantitatively identical, indicating that the same phase separation process occurs in the original potential.

Summary

The emergence of multiple critical points in this system results from the possibility of creating multiple interpenetrating bonded structures, explaining why such a phenomenon is not observed in simple atomic and molecular systems for which hard-core interactions prevent significant interpenetration. However, interpenetration is in principle possible in some networked liquids where directional bonding favors locally “empty” bonded states, like water. In this respect, our work supports the possibility of multiple liquid–liquid critical points in water and other networked liquids as a result of interpenetration of distorted bonded networks.

Some care must be taken in applying interpenetration concepts to water and other molecular systems. Specifically, the hydrogen bond distance in water is not substantially larger than the core (oxygen–oxygen) repulsion. Despite this repulsion, water is able to arrange, at least in crystal forms VI, VII, and VIII, as two interpenetrating fully bonded lattices (25). Unlike our DNA functionalized particles, the absence of bond selectivity in water molecules means that molecules can share their bonding sites to form simultaneously pairs of bonds (33). These shared bonds are absent for DNA functionalized particles, where the lock-and-key mechanism imposes a one-to-one correspondence between bonding sites and number of bonded neighbors. Such shared bonds in water give rise to significant distortions of the network, which is clearly indicated from structural measurements (34). As a result, in the case of water, the density of the high-density interpenetrating phase should be significantly smaller than twice that of a single networked phase. Indeed, the difference between the low- and high-density states is experimentally known to be $\approx 25\%$ (35).

Another approach that has helped to understand multiple phases in these dense systems is the use of spherically symmetric models with two length scales (19, 36–38). Here, we have shown that a single bonding length scale that allows for interpenetration

state V_B (weighted by the probability p_B that two strands are bonded at temperature T) and the potential describing the nonbonding interaction V_{NB} , that is,

$$V(r, \theta_1, \theta_2, T) = V_{NB}(r) + p_B(T)V_B(r, \theta_1, \theta_2). \quad [1]$$

The potential V_{NB} is assumed to be spherically symmetric and it is very soft because it models primarily the repulsion of the small core. The potential V_B retains orientation dependence, because bonding only occurs when strands are properly aligned. To mimic bond selectivity and to reproduce the lock-and-key binding characteristic of biological binary associations like DNA, each arm may form no more than one bond. Therefore, if the arms labeled by θ_1 or θ_2 are already engaged in a bond with some other dendrimer in the system, $p_B(T) = 0$.

To numerically evaluate the functional form of V_{NB} , V_B , and $p_B(T)$ we simulate a system composed of two dendrimers in a box for several T in the region where ssDNA pairs. The numerical results for the probability $p_B(T)$ can be modeled as a two-state expression

$$p_b(T) = \left(1 + \exp\left(-\frac{\Delta U - T\Delta S}{k_B T}\right) \right)^{-1}, \quad [2]$$

1. Glotzer SC, Solomon MC (2007) Dimensions in anisotropy space: Rationalizing building block complexity for assembly. *Nat Mater* 6:557–562.
2. Frenkel D (2006) Colloidal crystals: Plenty of room at the top. *Nat Mater* 5:85–86.
3. Berti D (2006) Self assembly of biologically inspired amphiphiles. *Curr Opin Colloid Interface Sci* 11:74–78.
4. Niemeyer CM (2000) Self-assembled nanostructures based on DNA: Towards the development of nanobiotechnology. *Curr Opin Chem Biol* 4:609–618.
5. Seeman NC (2003) DNA in a material world. *Nature* 421:427–431.
6. Condon A (2006) Designed DNA molecules: Principles and applications of molecular nanotechnology. *Nat Rev Genet* 7:565–575.
7. Valignat MP, Theodoly O, Croker JC, Russel WB, Chaikin PM (2005) Reversible self-assembly and direct assembly of DNA-linked micrometer-sized colloids. *Proc Natl Acad Sci USA* 102:4225–4229.
8. Mirkin CA, Letsinger RL, Mucic RC, Storhoff JJ (1996) A DNA-based method for rationally assembling nanoparticles into macroscopic materials. *Nature* 382:607–609.
9. Park SY, et al. (2008) DNA-programmable nanoparticle crystallization. *Nature* 451:553–556.
10. Nykpanchuk D, Maye MM, van der Lelie D, Gang O (2008) DNA-guided crystallization of colloidal nanoparticles. *Nature* 451:549–552.
11. Stewart KM, McLaughlin LW (2004) Four-arm oligonucleotide Ni(II)–cyclam-centered complexes as precursors for the generation of supramolecular assemblies. *J Am Chem Soc* 126:2050–2057.
12. Tumpene J, et al. (2007) Addressable high-information-density DNA nanostructures. *Chem Phys Lett* 440:125–129.
13. Winfree E, Liu FR, Wenzler LA, Seeman NC (1998) Design and self-assembly of two-dimensional DNA crystals. *Nature* 394:539–544.
14. Biancianiello PL, Kim AJ, Crocker JC (2005) Colloidal interactions and self-assembly using DNA hybridization. *Phys Rev Lett* 94:058302.
15. Harris NC, Kiang C-H (2005) Disorder in DNA-linked gold nanoparticle assemblies. *Phys Rev Lett* 95:046101.
16. Zaccarelli E, et al. (2005) Model for reversible colloidal gelation. *Phys Rev Lett* 94:218301.
17. Poole PH, Sciortino F, Essmann U, Stanley HE (1992) Phase behaviour of metastable water. *Nature* 360:324–328.
18. Mishima O, Stanley HE (1998) Decompression-induced melting of ice IV and the liquid-liquid transition in water. *Nature* 392:164–168.
19. Mishima O, Stanley HE (1998) The relationship between liquid, supercooled and glassy water. *Nature* 396:329–335.
20. Debenedetti PG (2003) Supercooled and glassy water. *J Phys Condens Matter* 15:R1669–R1726.
21. Brovchenko I, Geiger A, Oleinikova A (2003) Multiple liquid-liquid transitions in supercooled water. *J Chem Phys* 118:9473–9476.
22. Sastry S, Angell CA (2003) Liquid-liquid phase transition in supercooled silicon. *Nat Mater* 2:739–743.
23. Saika-Voivod I, Sciortino F, Grande T, Poole PH (2004) Phase diagram of silica from computer simulation. *Phys Rev E* 70:061507.
24. Poole PH, Grande T, Angell CA, McMillan PF (1997) Polymorphic phase transitions in liquids and glasses. *Science* 275:322–323.
25. Fletcher NH (1970) *The Chem Phys of Ice* (Cambridge Univ Press, Cambridge).
26. Starr FW, Sciortino F (2006) Model for assembly and gelation of four-armed DNA dendrimers. *J Phys Condens Matter* 18:L347–L353.
27. Largo J, Starr FW, Sciortino F (2007) Self-assembling DNA dendrimers: A numerical study. *Langmuir* 23:5896–5905.
28. Largo J, Tartaglia P, Sciortino F (2007) Effective nonadditive pair potential for lock-and-key interacting particles: The role of the limited valence. *Phys Rev E* 76:011402.
29. Tsypin MM, Blote HWJ (2000) Probability distribution of the order parameter for the three-dimensional Ising-model universality class: A high-precision Monte Carlo study. *Phys Rev E* 62:73–76.
30. Katayama Y, et al. (1999) First-order liquid-liquid phase transition in phosphorus. *Nature* 403:170–173.
31. Buldyrev SV, Stanley HE (2003) A system with multiple liquid-liquid critical points. *Physica A* 330:124–129.
32. Kittel C (2005) *Introduction to Solid State Physics* (Wiley, Hoboken, NJ).
33. Sciortino F, Geiger A, Stanley HE (1991) Effect of defect on molecular mobility in liquid water. *Nature* 354:218–221.
34. Starr FW, Bellissent-Funel M-C, Stanley HE (1999) Structure of supercooled and glassy water. *Phys Rev E* 60:1084–1087.
35. Loerting T, Giovambattista N (2006) Amorphous ices: Experiments and numerical simulations. *J Phys Condens Matter* 18:R919.
36. Franzese G, Malescio G, Skibinsky A, Buldyrev SV, Stanley HE (2001) Generic mechanism for generating a liquid-liquid phase transition. *Nature* 409:692–695.
37. Xu L, et al. (2005) Relation between the Widom line and the dynamic crossover in systems with a liquid-liquid critical point. *Proc Natl Acad Sci USA* 102:16558–16562.
38. Xu L, Buldyrev SV, Angell CA, Stanley HE (2006) Thermodynamics and dynamics of the two-scale spherically symmetric Jagla ramp model of anomalous liquids. *Phys Rev E* 74:031108.
39. Wilding NB (2001) Computer simulation of fluid phase transitions. *Am J Phys* 69:1147–1155.

where ΔU and ΔS measure the change in energy and entropy associated to the formation of a double strand and k_B is the Boltzmann constant (in our reduced units, $k_B = 1$). The angular dependence can be model as a Gaussian function. The ability of the effective potential to reproduce structural and thermodynamic properties of the explicit model has been verified in ref. 28 for the case $L = 8$. We have confirmed that similar agreement in structural quantities is observed for the case $L = 4$ and $L = 16$, even in the region where multiple networks are observed.

To evaluate the phase diagram, we carry out a series of Monte Carlo simulations in the grand canonical ensemble (fixed μ , volume V , and T) and study the distribution of the density fluctuations. To properly locate the critical points, we use histogram-reweighting techniques to ensure the order parameter distribution $P(M)$ matches that of the Ising universality class. The phase boundaries near the critical point are determined by a series of multi-canonical simulations, as described by ref. 39.

ACKNOWLEDGMENTS. We thank J. F. Douglas and S. Sastry for helpful discussions and Wesleyan University for computer time, which was supported by National Science Foundation Grant CNS-0619508. This work was supported by National Science Foundation Grant DMR-0427239, Ministero dell'Università e della Ricerca, Marie Curie Research Training Network Grants CT-2003-504712 and NMP3-CT-2004-502235, and Marie Curie European Reintegration Grant CT-2007-046453.